

Telephone & Advisory Commission Rates and Charges

(Effective 01/04/2018)

This charge sheet is incorporated into and forms part of the First Equity Limited Terms of Business

Commission Rates

Agency Trades

On the first £1 - £10,000	1.5%
On the next £10,000 and above	1%
Minimum commission	£20 per trade
Admin Fee	£16 per trade

Pre-IPOs, New Issues & Placings

Up to 5% per transaction

EXAMPLE 1 : A client wishes to buy company X totally £15,000

Commission payable	£10,000 x 1.5%	=	£150
	£5000 x 1%	=	£50
Admin fee		=	£16
Total Commission + Charges		=	£216

EXAMPLE 2: A client wishes to buy £15,000 in a placing in company Y

Commission Payable	£15,000 x 5%	=	£750
Admin Fee		=	£16
Total Commission + Charges		=	£766

Safe Custody Charges

USA	10bp (0.1%)
Canada	10bp (0.1%)
Europe	10bp (0.1%)
Australia	12bp (0.12%)

Other Charges & Services

BACS up to 3 days	Require instructions to be received by 14:00pm	Free of charge
CHAPS transfer fee	Require instructions to be received by 12:00pm	£20 + VAT
Overseas payment		£20 + VAT
Cheque payments		£15 + VAT
Unpaid incoming cheque		£20 + VAT
Late settlement fee		£20 + VAT
Late delivery charge		£25 + VAT
UK shares deposited		Free of charge
UK shares withdrawals		£10 + VAT
Proxy vote fee		£20 + VAT
Overseas transfers		£20 + VAT
Foreign exchange Transactions		£25

First Equity Limited

Registered in England and Wales No. 2019652. Registered Office: Salisbury House, London Wall, London, EC2M 5QQ.

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Continued

Additional external dealing charges

Stamp Duty

UK Equities 0.5% on all purchasers (excluding AIM-listed). Irish Equities 1% on all purchases.

Different rates and other exchange fees may apply to overseas-market listed shares.

Panel on Takeovers & Mergers (PTM) Levy

£1.00 on transactions in UK Equities where the consideration is £10,000 and greater.

Interest on Client accounts

Clients will receive interest at a rate of 1% below the published HSBC Premier Account rate providing the net rate is positive.

For client accounts that have debit balances the HSBC Bank's unauthorised overdraft rate will be applied.

Interest will be applied monthly.

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